# FORM 5

Reported

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).
[] Form 3 Holdings Reported
[] Form 4 Transactions

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0362 Estimated average burden hours per response... 1.0

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Ado                                | dress of Rep    | orting Per     | son *   |  | 2.   | Issue                        | r Name   | and Ticke           | er o      | r Tradi             | ng Symbo             | ol   |  | 5. Relationship<br>(Check all appl |   | ting Perso   | on(s) to I                     | ssuer                                |
|--|-----------------|----------------|---------|--|--|------------------------------|--|---------------------|-----------|---------------------|----------------------|--|--|------------------------------------|---|--|--------------------------------|--------------------------------------|
| SMITH CLARENCE H                               |                 |                |         |  | HAVERTY FURNITURE COMPANIES INC [HVT]                    |                              |  |                     |           |                     |                      |  | _X_ Director                                     | ,                                  | 10  | )% Owner   |                                |                                      |
| (Last) (First) (Middle)                        |                 |                |         | 3.   | 3. Statement for Issuer's Fiscal Year Ended (MM/DD/YYYY) |                              |  |                     |           |                     |                      |  | _X_ Officer (give title below) Other (specify be |                                    |   |  | fy below)                      |                                      |
| 780 JOHNS0<br>800                              | ON FERR         | Y RD.,         | SUI     | TE   | (M   | IM/DD                        | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,  | 12/3                | 1/2       | 2022                |                      |  |  | Chairman & C                       | LO  |  |                                |                                      |
| 000  | (Stree          | t)             |         |  | 4.   | If An                        | nendme   | nt, Date O          | rigi      | nal Fil             | ed(MM/DD             | /YYYY  | 7)   | 6. Individual or                   | Joint/Gro   | oup Filing   | (Check Ap                      | plicable Line)                       |
| ATLANTA,                                       | GA 30342        | -              |         |  |  |                              |  |                     |           |                     |                      |  |  | X_Form Filed by                    | One Reportin  | ng Person  |                                |                                      |
| (C   | City) (State    | e) (Zip        | )       |  |  |                              |  |                     |           |                     |                      |  |  | Form Filed by M                    | nore than Oi  | ne Keporting   | g Person                       |                                      |
|  |                 |                | Table   | I - No                                     | n-De   | rivati                       | ive Seci   | urities Acc         | quii      | red, Di             | sposed of            | f, or B  | ene  | eficially Owned                    |   |  |                                |                                      |
|  |                 | 2. Trans. Date |         | te 2A. Deemed<br>Execution<br>Date, if any |  | 3. Trans. Code<br>(Instr. 8) |  | 4. Secur<br>or Disp |           | of (D) Fo           |                      | 5. Amount of Securities Beneficially Owned<br>Following Reported Transaction(s)<br>Instr. 3 and 4) |  |                                    | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect | Beneficial<br>Ownership  |                                |                                      |
|  |                 |                |         |  |  |                              |  |                     |           | Amoun               | (A) or (D)           | Price  |  |                                    |   |  | (I)<br>(Instr. 4)              | (IIISU. 4)                           |
| Common Stock                                   |                 |                |         | 11/21/                                     | 2022   |                              |  | G                   |           | 1351                | D                    | \$0  |  | 182                                | 67  |  | D                              |                                      |
| Common Stock                                   |                 |                |         |  |  |                              |  |                     |           |                     |                      |  |  | 785                                | 0   |  | I                              | By Georgia<br>Limited<br>Partnership |
| Common Stock                                   |                 |                |         |  |  |                              |  |                     |           |                     |                      |  |  | 296                                | 89  |  | I                              | By Spouse                            |
| Class A Common St                              |                 |                |         |  |  |                              |  |                     |           |                     |                      |  |  | 1120                               |   |  | D                              | D C                                  |
| Class A Common Stock                           |                 |                |         |  |  |                              |  |                     |           | + + +               |                      | 1950   |  |                                    | I<br>I  | By Spouse<br>By Villa  |                                |                                      |
| Class A Common Stock                           |                 |                |         |  |  |                              |  |                     |           |                     |                      |  | 603497   |                                    |   |  | Clare, LP                      |                                      |
| Table  | II - Derivat    | ive Secur      | ities A | cquir                                      | ed, Di   | ispos                        | ed of, o   | r Benefici          | ally      | Owne                | ed ( <i>e.g.</i> , p | uts, c   | alls   | , warrants, opt                    | ions, con   | vertible s   | ecurities                      | s)                                   |
| 1. Title of Derivate<br>Security<br>(Instr. 3) | Conversion Date |                | Execu   | A. Deemed Execution Coolate, if any (In    |  |                              | 5. Number of<br>Derivative Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                     | and       | and Expiration Date |                      | 7. Title and A Securities Un Derivative So (Instr. 3 and   |  | Jnderlying<br>Security             | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   | 9. Number<br>of<br>Derivative<br>Securities<br>Beneficiall<br>Owned at<br>End of | Ownersh<br>Form of<br>Derivati | (Instr. 4)                           |
|  |                 |                |         |  |  |                              | (A)  | (D)                 | Da<br>Exc | te<br>ercisable     | Expiration<br>Date   | Title  |  | Amount or<br>Number of<br>Shares   |   | Issuer's<br>Fiscal Year<br>(Instr. 4)  | (I)                            | ci                                   |
| PRSUs 2020                                     |                 |                |         |  |  |                              |  |                     |           | (1)                 | <u>(1)</u>           | Com  |  | 44800                              |   | 44800  | D                              |                                      |
| PRSUs 2020.1                                   |                 |                |         |  |  |                              |  |                     |           | (2)                 | (2)                  | Com  |  | 7680                               |   | 7680   | D                              |                                      |
| PRSUs 2021                                     |                 |                |         |  |  |                              |  |                     |           | <u>(3)</u>          | <u>(3)</u>           | Com  |  | 37352                              |   | 37352  | D                              |                                      |
| PRSUs 2021.1                                   |                 |                |         |  |  |                              |  |                     |           | <u>(4)</u>          | (4)                  | Com  |  | 6670                               |   | 6670   | D                              |                                      |
| RSUs 2019                                      |                 |                |         |  |  |                              |  |                     |           | <u>(5)</u>          | <u>(5)</u>           | Com  |  | 725                                |   | 725  | D                              |                                      |
| RSUs 2020                                      |                 |                |         |  |  |                              |  |                     |           | <u>(6)</u>          | <u>(6)</u>           | Com  |  | 2640                               |   | 2640   | D                              |                                      |
| RSUs 2021                                      |                 |                |         |  |  |                              |  |                     |           | (7).                | (7).                 | Com  |  | 4402                               |   | 4402   | D                              |                                      |
| RSUs 2022                                      |                 |                |         |  |  |                              |  |                     |           | <u>(8)</u>          | <u>(8)</u>           | Com  |  | 7973                               |   | 7973   | D                              |                                      |
| Phantom Stock                                  | \$0             |                |         |  |  |                              |  |                     |           | (9)                 | (2)                  | Com  |  | 5541                               |   | 5541   | D                              |                                      |

### **Explanation of Responses:**

(1) Performance Restricted Stock Units ("PRSUs") award granted 1/23/2020. Each PRSU represents a contingent right to receive one share of HVT common stock and was earned based on EBITDA for the year ended December 31, 2020 and will vest on February 28, 2023.

- (2) Performance Restricted Stock Units ("PRSUs") award granted 1/23/2020. Each PRSU represents a contingent right to receive one share of HVT common stock and was earned based on comparable store sales for the year ended December 31, 2020 and will vest on February 28, 2023.
- (3) Performance Restricted Stock Units ("PRSUs") award granted 1/21/2021. Each PRSU represents a contingent right to receive one share of HVT common stock and was earned based on EBITDA for the year ended December 31, 2021 and will vest on February 28, 2024.
- (4) Performance Restricted Stock Units ("PRSUs") award granted 1/21/2021. Each PRSU represents a contingent right to receive one share of HVT common stock and was earned based on consolidated sales for the year ended December 31, 2021 and will vest on February 28, 2024.
- (5) Restricted Stock Units ("RSUs") award granted 1/31/2019 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2020. Each RSU is equivalent to one share of common stock upon vesting.
- (6) Restricted Stock Units granted 1/23/2020 and vest ratably over 3 years beginning 5/8/2021. Each RSU is equivalent to one share of common stock upon vesting.
- (7) Restricted Stock Units granted 1/21/2021 and vest ratably over 3 years beginning 5/8/2022. Each RSU is equivalent to one share of common stock upon vesting.
- (8) Restricted Stock units granted 1/26/2022 and vest ratably over 3 years beginning 5/8/2023. Each RSU is equivalent to one share of common stock upon vesting.
- (9) Deferred under Directors' Deferred Compensation Plan. Settlement will occur upon the earlier to occur of (i) termination of service on the Board of Directors, or (ii) death.

**Reporting Owners** 

| Panarting Owner Name / Address   | Relationships |           |                |       |  |  |  |  |
|--|---------------|-----------|----------------|-------|--|--|--|--|
| Reporting Owner Name / Address   | Director      | 10% Owner | Officer        | Other |  |  |  |  |
| SMITH CLARENCE H<br>780 JOHNSON FERRY RD.<br>SUITE 800<br>ATLANTA, GA 30342- | X             |           | Chairman & CEO |       |  |  |  |  |

#### **Signatures**

Jenny H. Parker, Attorney-in-Fact

Signature of Reporting Person

1/30/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.